

A

BROWDY AND NEIMARK, P.L.L.C.

ATTORNEYS AT LAW

PATENT AND TRADEMARK CAUSES

SUITE 300

624 NINTH STREET, N W

WASHINGTON, D. C 20001-5303

TELEPHONE (202)-628-5197

December 3, 2001

TELECOPIER FACSIMILE

(202) 737-3528

(202) 393-1012

E MAIL

mail@browdyneimark.com

PATENT AGENT

ALLEN C. YUN, PH D

*ADMITTED IN FL ONLY
PRACTICE SUPERVISED BY
PRINCIPALS OF THE FIRMJC675 U.S. PTO
09/998639
12/03/0112/03/01
11131 U.S. PTOALVIN BROWDY (1917-1998)
SHERIDAN NEIMARK
ROGER L. BROWDYANNE M. KORNBAU
NORMAN J. LATKER
DIANA MICHELLE SOBO*

OF COUNSEL

IVER P. COOPER

JAY M. FINKELSTEIN

Hon. Commissioner for Patents

Box Patent Appln

Washington, D.C. 20231

Re: Continuing Application of Appln. No. 09/307,905
 Applicant(s): Shu HOTTA et al
 Title: MOLECULAR COMPOUND, LUMINOUS MATERIAL USING THE SAME,
 AND LUMINOUS ELEMENT
 Atty's Docket: HOTTA=2A

Sir:

Attached herewith is the above-identified continuing application for Letters Patent including:

- ☒ Specification (67 pages), claim (1 page) and abstract (1 page)
☒ 17 Sheets Drawings (Figures 1-17)
☒ Formal ☐ Informal
☒ Declaration and Power of Attorney (2 page(s))
☐ Newly executed ☒ Copy from prior application no. 09/307,905.
☒ Preliminary Amendment
☐ Computer-readable Sequence Listing
☐ Supplemental Preliminary Amendment
☒ Information Disclosure Statement with 4 references
☐ Small entity status is claimed.
☒ Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of \$ 740.00 ,
 to cover the filing fee calculated as follows (including any preliminary amendment for entry prior to
 calculation of the filing fee):

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$ 740.00
TOTAL CLAIMS	1- 20	= 0	x 18	--
INDEPENDENT CLAIMS	1- 3	= 0	x 84	--
<input type="checkbox"/> Multiple Dependent Claim Presented			+ 280	--
<input type="checkbox"/> Reduction of 1/2 for Small Entity				
TOTAL FILING FEE				\$ 740.00

09/998639 12/03/01

- ☐ Any additional fee required by the filing of an enclosed preliminary or supplemental preliminary amendment (for entry after calculation of the filing fee) has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	CALCULATION
TOTAL		-	=	x 18	
INDEP.		-	=	x 84	
<input type="checkbox"/> Multiple Dependent Claim Presented				+ 280	
<input type="checkbox"/> Reduction by 1/2 for Small Entity					
Total Additional Fee =					

- ☐ Other Fees: _____
- ☐ Other Attachments: _____
- ☒ Return Receipt Postcard (in duplicate)

The following statements are applicable:

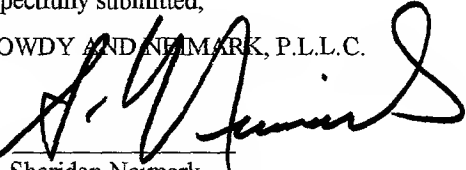
- ☒ The benefit under 35 USC §119 is claimed of the filing date of:
Application No. 10-126465 in Japan on May 8, 1998. A certified copy of said priority document was filed in parent application on June 23, 1999.
- ☒ The present application is a continuing application of prior Application No. 09/307,905.
- ☒ Incorporation By Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ A signed statement deleting inventor(s) named in the prior application is attached.
- ☒ The prior application was assigned to:
Japan Chemical Innovation Institute 2-22-13, Yanagibashi, Taito-ku, Tokyo, Japan;
Agency of Industrial Science & Technology 1-3-1, Kasumigaseki, Chiyoda-ku, Tokyo, Japan
- ☒ Amend the specification by inserting before the first line the sentence:
--This is a continuation or division application of copending parent Application No 09/307,905, filed May 10, 1999.--
- ☒ Certain documents were previously cited or submitted to the Patent and Trademark Office in the following prior application 09/307,905, which is relied upon under 35 USC §120. Applicants identify these documents by attaching hereto a form PTO/SB/08A listing these documents, and request that they be considered and made of record in accordance with 37 CFR §1.98(d). Per Section 1.98(d), copies of these documents need not be filed in this application.
- ☒ As in the parent application 09/307,905, please associate the present application with **Customer No. 001444** and recognize only the practitioners associated therewith.
- ☒ As in the parent application 09/307,905, please send all correspondence to
BROWDY AND NEIMARK, P.L.L.C.
624 9th Street, N.W., Suite 300
Washington, D.C. 20001-5303

- ☐ A verified statement claiming small entity status is enclosed in progenitor application no. , filed . Status is still proper and desired.
- ☒ The undersigned attorney of record hereby revokes the powers of attorney of:
Nick S. Bromer, Reg. No. 33,478
- ☐ The undersigned attorney of record hereby appoints associate power of attorney, to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith to:
- ☒ The Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayments to Deposit Account No. 02-4035:
- ☒ Any additional filing fees required under 37 CFR §1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees, based on any paper filed during the pendency of this application or any CPA thereof, to effect any amendment, petition, or other action requested in said paper or credit any overpayments to Deposit Account No. 02-4035:
- ☒ Any patent application processing fees under 37 CFR §1.17.
- ☐ The issue fee set in 37 CFR §1.18 at or before mailing the Notice of Allowance, pursuant to 37 CFR §1.311(b).
- ☒ Any filing fees under 37 CFR §1.16 for presentation of extra claims.
- ☒ If a paper is untimely filed in this or any CPA thereof by Applicant(s), the Commissioner is hereby petitioned under 37 CFR. §1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 CFR §1.17 to Deposit Account 02-4035.
- ☒ The Commissioner is hereby authorized to credit any overpayment of fees accompanying this paper to Deposit Account No. 02-4035.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C.

By:


Sheridan Neimark

Registration No. 20,520

SN:jaa

F:\k\kanf\hotta2a\pto\ApplnContinuation.doc